

RM PTO 1419 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

SUPPLEMENTAL TION DISCLOSURE STATEMENT BY APPLICANT

(USE SEVERAL	SHEETS	IF NECESSAI	RY)

ATEM A TO

ATTY.	DOCKET	NO.
MVM	DINC.50	V1C1

APPLICATION NO. 10/696,799

APPLICANT Frazier, et al.

FILING DATE October 30, 2003 GROUP 3763

				October 30, 2003	3/63			
				U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING (IF APPR	DATE
X	1	5,372,599	12/13/94	Martins				
1/2	2	5,569,306	10/29/96	Thal				
Y	3	5,782,864	07/21/98	Lizardi				
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				FOREIGN PATENT DOCUMENTS	<u></u>			
XAMINER		DOCUMENT NUMBER DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL			ļ <u>-</u>				YES	NO
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XAMINER INITIAL		ОТ	HER DOCÚMI	ENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINEN	T PAGES,	ETC.)		
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EXAMINER XX

DATE CONSIDERED

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*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.



				SHEET 1 OF
0	FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE DISCLOSURE STATEMENT	ATTY. DOCKET NO. MVMDINC.5DV1C1	APPLICATION NO. 10/696,799
	SEP 2 3 2004 W		APPLICANT Frazier, et al.	
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. U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
						

FOREIGN PATENT DOCUMENTS							
EXAMINER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
INITIAL						YES	NO
X	0 474 887 B1	06/15/94	EP				
X	GB 2 269 321	02/09/94	GB	1			
SA	WO 96/32882	10/24/96	РСТ				
8	WO 98/33462	08/06/98	PCT	\top			
X	WO 99/40849	08/19/99	PCT				
Z	WO 01/17435	03/15/01	PCT				
7							

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	Supplementary Partial European Search Report dated June 9, 2004

SHL-9482.DOC:sls 072804

EXAMINER SUPT 16 2005

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Multiple sheets used when necessary)
SHEET 1 OF 1

	PTO/SB/08 Equivaler
Application No.	10/696,799
Filing Date	October 30, 2003
First Named Inventor	Frazier, et al.
Art Unit	3763
Examiner	Manuel Mendez
Attorney Docket No.	MVMDINC.5DV1C1

U.S. PATENT DOCUMENTS							
Exeminer Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear		
X.		5,207,695	05/04/1993	Trout, III			
	-		1				
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹			
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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T¹		
X		THE PATENT OFFICE OF THE STATE INTELLECTUAL PROPERTY OFFICE OF THE PEOPLE'S REPUBLIC OF CHINA, First Office Action, December 10, 2004, Chinese Application No. 00813088.4, filed September 20, 2000.			
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Examiner Signature

Date Considered

Sept 16, 2008

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T1 - Place a check mark in this area when an English language Translation is attached.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT		ATTY. DOCKET NO. MVMDINC.5DV1C1	APPLICATION NO. Unknown
		APPLICANT Andrew G.C. Frazier et al.	
(USE SEVERAL	SHEETS IF NECESSARY)	FILING DATE Herewith	GROUP Unknown

U.S. PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	, NAME	C	LASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
1.	3,874,388	04/01/75	King et al.		$\overline{}$		
2.	4,007,743	02/15/77	Blake			1	_
3.	4,710,192	12/1987	Liotta et al.				
4.	4,950,227	08/21/90	Savin et al.				
5.	4,950,285	08/1990	Wilk				
6.	4,994,069	02/1991	Ritchart et al.				
7.	5,108,420	04/28/92	Marks				
8.	5,123,428	06/1992	Schwarz				
9.	5,171,259	12/1992	Inoune		\top		
10.	5,281,238	01/1994	Chin et al.		T		
11.	5,282,827	02/1994	Kensey et al.		T	.	
12.	5,306,234	04/1994	Johnson				
13.	5,350,399	09/27/94	Erlebacher et al.				
14.	5,373,840	12/20/94	Knighton				
15.	5,375,612	12/1994	Cottenceau et al.				
16.	5,403,326	04/1995	Harrison et al.	17			
17.	5,417,699	05/23/95	Klein et al.		I		
18.	5,527,338	06/1998	Purdy		T^{-}		
19.	5,593,422	01/1997	Muijs Van Der Moer et al.		Π		
20.	5,593,424	01/1997	Northrup III				
21.	5,632,753	05/1997	Loeser				
22.	5,709,224	01/1998	Behl et al.				
23.	5,713,907	02/1998	Hogendijk et al.				
24.	5,748,747	05/1998	McKeating				
25.	5,814,068	09/1998	Koike et al.				
26.	5,827,268	10/1998	Laufer		$\overline{\mathcal{I}}$		
27.	5,843,118	12/1998	Sepetka et al.		1	. /	
	2. 3. 4. 5. 6. 7. 8. 9. 10. 11. 12. 13. 14. 15. 18. 19. 20. 21. 22. 23. 24. 25. 26.	1. 3,874,388 2. 4,007,743 3. 4,710,192 4. 4,950,285 6. 4,994,069 7. 5,108,420 8. 5,123,428 9. 5,171,259 10. 5,281,238 11. 5,282,827 12. 5,306,234 13. 5,350,399 14. 5,373,840 15. 5,375,612 16. 5,403,326 17. 5,417,699 18. 5,527,338 19. 5,593,422 20. 5,593,424 21. 5,632,753 22. 5,709,224 23. 5,713,907 24. 5,847,268 26. 5,827,268	1. 3,874,388 04/01/75 2. 4,007,743 02/15/77 3. 4,710,192 12/1987 4. 4,950,227 08/21/90 5. 4,950,285 08/1990 6. 4,994,069 02/1991 7. 5,108,420 04/28/92 8. 5,123,428 06/1992 9. 5,171,259 12/1992 10. 5,281,238 01/1994 11. 5,282,827 02/1994 12. 5,306,234 04/1994 13. 5,350,399 09/27/94 14. 5,373,840 12/20/94 15. 5,375,612 12/1994 16. 5,403,326 04/1995 17. 5,417,699 05/23/95 18. 5,527,338 06/1996 19. 5,593,422 01/1997 20. 5,593,424 01/1997 21. 5,632,753 05/1997 22. 5,709,224 01/1998 23. 5,713,907 02/1998 24. 5,746,747	DOCUMENT NUMBER DATE , NAME 1. 3,874,388	DOCUMENT NUMBER	DOCUMENT NUMBER 1. 3,874,388	DOCUMENT NUMBER DATE NAME CLASS SUBCLASS

DATE CONSIDERED **EXAMINER**

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SHEET 2 OF 2

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MVMDINC.5DV1C1	APPLICATION NO. Unknown
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Andrew G.C. Frazier et al.	
(USE SEVERA	L SHEETS IF NECESSARY)	FILING DATE . Herewith	GROUP Unknown

		,		U.S. PATENT DOCUMENTS	· · · · · · · · · · · · · · · · · · ·		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
X	28.	5,849,004	12/98	Bramlet			
	29.	5,853,422	12/29/98	Huebsch et al.			
	30.	5,860,991	01/1999	Klein et al.		_	
	31.	5,861,003	01/19/99	Latson et al.			
	32.	5,865,791	02/1999	Whayne et al.			
	33.	5,868,762	02/99	Cragg et ak,			
	34.	5,879,366	03/1999	Shaw et al.			
	35.	5,968,053	10/1999	Revelas			
	36.	5,957,900	09/28/99	Ouchi			
	37.	6,231,561 B1	08/28/01	Frazier et al.			
	38.	6,258,087 B1	07/10/01	Edwards et al.			
	39.	6,280,414 B1	08/28/01	Shah et al.			
h	40.	6,290,674 B1	09/18/01	Roue et al.			
11	41.	6,328,727 B1	12/01	Frazuer et al.			•
X	42.	6,419,669 B1	07/16/02	Frazier et al.		/	

			FOREIGN PATENT DOCUMENTS				
EXAMINER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL						YES	NO
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EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
XA	43.	Blackshear JL, Odell JA., Appendage Obliteration to Reduce Stroke in Cardiac Surgical Patients With Atrial Fibrillation. Ann Thorac. Surg., 1996.61(2): 755-9.
77	> 44.	Lindsay BD., Obliteration of the Left Atrial Appendage: A Concept Worth Testing, Ann Thorac. Surg., 1996.61(2): 515.

EXAMINER MAN DATE CONSIDERED SOT 18, 2005

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